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PATENT & TRADEMARK OFFICE
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TRANSMITTAL LETTER			Case No. 4672/363
Serial No. 10/001,237	Filing Date October 30, 2001	Examiner Not yet assigned	Group Art Unit 2167
Inventor(s) Brady et al.			
Title of Invention NETWORK AND METHOD FOR TRADING DERIVATIVES			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a Preliminary Amendment; Return Postcard.

- Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- Applicant claims small entity status. See 37 CFR 1.27.
- Petition for a _____ month extension of time.
- No additional fee is required.
- The fee has been calculated as shown below:

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GROUP 3600

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity		Other Than Small Entity	
Rate	Add'l Fee	Rate	Add'l Fee
x \$9 =		x \$18 =	
x 43 =		x \$86 =	
+ \$145 =		+ \$290 =	
Total add'l fee	\$	Total add'l fee	\$

- Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ _____. A duplicate copy of this sheet is enclosed.
- A check in the amount of \$ _____ to cover the filing fee is enclosed.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

James L. Katz
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Attorney for Applicant
Customer No. 00757 - Brinks Hofer Gilson Lione

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 4-2-04.

Date: 4-2-04

Signature: 